

*This brochure supplement provides information about Litsa Stella Preovolos that supplements the Alpha & Omega Financial Management Consultants brochure. You should have received a copy of that brochure. Please contact Litsa Stella Preovolos if you did not receive Alpha & Omega Financial Management Consultants's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Litsa Stella Preovolos is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Alpha & Omega Financial Management Consultants**

**Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Litsa Stella Preovolos**

Personal CRD Number: 7640753

Investment Adviser Representative

Alpha & Omega Financial Management Consultants  
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La Mesa, CA 91942  
(619) 462-7812  
[stella@aobenefits.com](mailto:stella@aobenefits.com)

UPDATED: 09/27/2022

## **Item 2: Educational Background and Business Experience**

**Name:** Litsa Stella Preovolos **Born:** 1941

### **Educational Background and Professional Designations:**

#### **Education:**

Business Education Accounting, University of Northern Colorado - 1963

#### **Business Background:**

02/2022 - Present	Investment Adviser Representative Alpha & Omega Financial Management Consultants
06/1975 - Present	Vice President Administration Alpha & Omega Financial Management Consultants,

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Litsa Stella Preovolos is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Litsa Stella Preovolos does not receive any economic benefit from any person, company, or organization, other than Alpha & Omega Financial Management Consultants in exchange for providing clients advisory services through Alpha & Omega Financial Management Consultants.

## Item 6: Supervision

As a representative of Alpha & Omega Financial Management Consultants, Litsa Stella Preovolos is supervised by Athanasios K. Preovolos, the firm's Chief Compliance Officer. Athanasios K. Preovolos is responsible for ensuring that Litsa Stella Preovolos adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Athanasios K. Preovolos is (619) 696-0520.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Litsa Stella Preovolos has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Litsa Stella Preovolos has not been the subject of a bankruptcy petition.